

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No.: A01269A  
RRC/ld

In re Application of:  
Paul Van Rheenen, et al.

Serial No.: 10/716815

Group Art Unit: Not Yet Known

Filed: 11/19/2003

Examiner: Not Yet Known

For: A METHOD OF TOUGHENING THERMOPLASTIC POLYMERS AND  
THERMOPLASTIC COMPOSITIONS PRODUCED THEREBY

**THIS IS A DIVISIONAL OF PATENT APPLICATION 09/951,692 FILED 9/13/2001**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF FACSIMILE**

Sir:

I hereby certify that the following correspondence is being sent by facsimile to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclosure Statement  
List of Cited Art By Applicant (PTO Form 1449)  
Copy of Cited References

2/19/2004  
Date

Lisa Dawson  
Signature

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FEB 23 2004

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**INFORMATION DISCLOSURE STATEMENT**

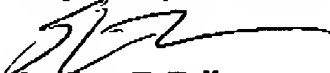
Sir:

In accordance with Applicant(s)' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicant(s) submit(s) herewith copies of the documents listed on the enclosed form PTO-1449 "List of Art Cited by Applicant". Inclusion of a document in this Information Disclosure Statement and/or its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,



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February 19, 2004  
Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399

Sheet 1 of 1

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

Atty Docket No. <b>A01269</b>	Serial No. <b>10,716,815</b>
Applicant <b>Paul Van Rheezen, et al.</b>	
Filing Date <b>11/19/2003</b>	Group <b>Not Yet Known</b>

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	6,107,392	08/22/00	Antonelli et al	524/504	
	AB	6,017,992	01/25/00	Haddleton et al	524/458	
	AC	5,804,632	09/08/98	Haddleton et al	524/458	
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	AH	5,247,040	09/21/93	Amick et al	526/286	
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	AJ	5,028,677	07/02/91	Janowicz	526/329	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
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	AN					
	AO					
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	AR					
	AS					
	AT					

**OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)**

	AU	Applications of Anionic Polymerization Research, R. P. Quirk Ed, ACS Symp. Ser. 696, 208(1998); "Practical Applications of Macromonomer Techniques for the Synthesis of Comb-Shaped Copolymers", Authors S. Roos, A.H. E. Muller, M. Kaufmann, W. Siol, C. Auschra
	AV	Journal of Polymer Science Part A: Polymer Chemistry, Vol. 34, 3173-3181; "Compatibilization of the PBA/PMMA Core/Shell Latex Interphase, I. Partitioning of PMMA Macromonomer in the PBA Seed Latex", Authors, V. Nelliappan, M. S. El-aasser, A. Klein, E. S. Daniels, and J. E. Roberts
	AW	J. Macromol Sci. - Chem. A27(4) pp. 491-507 (1990), "Copolymerization of Polymethyl Methacrylate Macromers with n-Butyl Acrylate and Mechanical Properties Of the Graft Copolymers", Authors Hong-Quan Xie and Shi-Biao Zhou

Sheet 1 of 1

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(Use several sheets if necessary)

Atty Docket No. <b>A01269A</b>	Serial No. <b>10/716,815</b>
Applicant <b>Paul Van Rheenen, et al.</b>	
Filing Date <b>November 19, 2003</b>	Group <b>Not Yet Known</b>

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	3,862,102	1/21/1975	Milkovich, et al.		
	AB	3,879,494	4/22/1975	Milkovich, et al.		
	AC	5,053,461	10/1/1991	Tone, et al.		
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	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL	0 212 542A	3/4/1987	EP		
	AM					
	AN					
	AO					
	AP					

**OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)**

	AR	
	AS	
	AT	

Examiner

Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Rohm and Haas Company Modified Form PTO 1449